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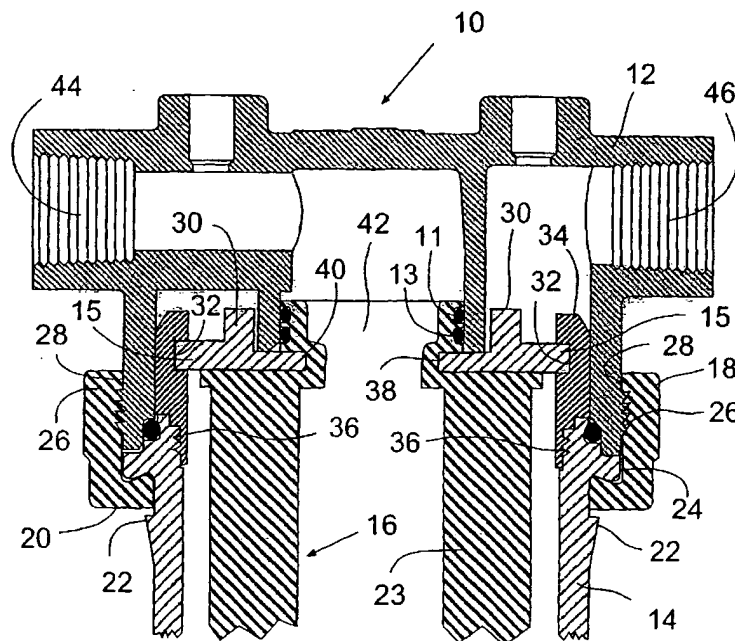
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(54) Title: **FILTER CARTRIDGE CONSTRUCTION**



(57) Abstract: A filtration module (10) is provided which includes a manifold (12), a filter cartridge (16) and a bowl (14) which houses the filter cartridge (16). The filter cartridge (16) and bowl (14) are connected to each other by a key (30) which is secured to flat surfaces (38) on the cartridge (16) to form a unitary construction. The filter cartridge (16) and bowl (14) are in fluid communication with the manifold (12) in a manner which prevents mixing of a fluid feed to the module (10) and a permeate removed from the module (10).



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1.
FILTER CARTRIDGE CONSTRUCTION

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BACKGROUND OF THE INVENTION

The present invention relates to membrane filtration modules and a filtration cartridge that are more sanitary and are easier to replace and install than presently available
5 filtration modules. More particularly, the present invention relates to a filtration cartridge and membrane filtration modules formed from a filtration cartridge, a reusable bowl, a reusable manifold and a reusable connecting device to connect the filtration cartridge, retaining bowl and manifold together.

The control of particulate contaminants in a filtration process such as in the
10 semiconductor industry requires the use of ultraclean filters having membranes that remove submicron particles. It is well known that any particle that is deposited on a semiconductor wafer produces a defect when the particle is sufficiently large. Typically, in the semiconductor industry, failed defects can be produced by particles as small as about one tenth of the smallest features of the semiconductor chip. Therefore, membrane filters are
15 used in every process step for producing semiconductor chips to purify both working liquids and gases.

Although many different designs have been developed for a filtration module used in an ultrapure liquid filtration, two designs are prevalent. In one module design, liquid to be filtered flows from one end of the filtration module to the other end. In this class of
20 filtration modules, the feed and permeate connections are located at opposite ends of the filter thereby forcing the liquid flow to move from one end to the other. This flow configuration is referred to as an in line flow configuration. These filtration modules suffer from two disadvantages. First, they are more difficult to connect to the process equipment since the module is sandwiched between two sets of connections. Second, any free liquid
25 remaining within the module quickly drains upon disconnection of the module because at least one connection is positioned at the bottom of the module.

A second filtration modular design locates all of the connections at the same end of the module. In this type of module, the feed and permeate ports are typically horizontally oriented at the top of "head" end of the module on opposite sides thereof. Due to their
30 shape, these modules are referred to as having a T configuration. The T configuration facilitates connection of the head to the remaining portion of the filtration module comprising the bowl and the filtration cartridge positioned within the bowl. In this design,

the bowl and filtration cartridge comprise separate elements. Thus, when constructing the filtration module, the filtration cartridge and the bowl are separately secured to and sealed to the manifold head. In addition, upon completion of filtration, the bowl and cartridge are separately removed from the head. This separate removal requires that the bowl be moved a distance substantially greater than the entire length of the cartridge thereby exposing the cartridge to permit its removal. Thereafter, the exposed cartridge is removed by hand or with a hand tool. Since the filter cartridge is saturated with the liquid being filtered which is often times corrosive or toxic, the cartridge removal step presents a danger to the worker. In addition, since the bowl must be moved the length of the cartridge, the space within which the bowl and cartridge are positioned must accommodate this removal step.

It has been proposed in U.S. Patent 5,114,572, to provide a filter assembly which cooperates with a bowl to produce a filter cartridge-bowl construction which can be demounted as a single unit from a manifold. The filter cartridge is connected to the bowl by bayonet connections on the cartridge which fit into grooves within the interior surface of the bowl. The bayonet connections are formed integrally with the cartridge such as by being molded as a single unit. When the cartridge is so-configured, it can only be used with a bowl configured to accept the bayonet. This is undesirable since it prevents the so-configured cartridge to be used with presently produced bowls configured to not accept this cartridge. This, in turn, severely reduces the market for the so-configured cartridge. In addition, the bayonet connections are positioned below the top surface of the cartridge so that they fit into grooves in the bowl also positioned below the top surface of the cartridge. When the bayonets are so-positioned, positioning in the grooves is made more difficult by the reduced visibility.

Accordingly, it would be desirable to provide a filtration cartridge which permits its use in a plurality of bowls of different configurations. In addition, it would be desirable to provide such a cartridge which includes a connection means between the cartridge and a bowl with means that are not movable relative to the cartridge during filtration. In addition, it would be desirable to provide such a cartridge having a connection means which are clearly visible so that connection of the cartridge to the bowl is facilitated. Such a cartridge would promote ease of inserting the filter cartridge into the bowl, would eliminate the danger to the worker in removing the filter cartridge subsequent to filtration and would reduce the space required to install the filtration module.

SUMMARY OF THE INVENTION

In accordance with this invention, a filtration module is provided comprising a manifold, and the combination of a filter cartridge and bowl wherein the filter cartridge and bowl are locked together to be installed and removed as one piece from the manifold. The filter cartridge includes a fluid passageway for fluid communication between the manifold and the interior of the cartridge. The outside surface of the fluid passageway includes two flat parallel surfaces which mate with two flat surfaces of a key so that the key can be slideably mounted on the fluid passageway. In a first embodiment, the key is provided with bayonets which fit into slots in the bowl thereby to effect connections of the cartridge to the bowl. In a second embodiment, the key is provided with hook-shaped elements which are snap fit over the open lip of the bowl thereby to effect connection of the cartridge to the bowl. The key is removable from the filter cartridge so that the cartridge can be utilized with a conventional bowl not secured to the filter cartridge or with the bowl utilized in the filter module of this invention. The key and bayonets or the key and hook-shaped elements which secure the cartridge to the bowl permit the cartridge and bowl to be removed as one piece from the manifold.

BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a cross sectional view of a filter module of this invention.
- Fig. 2 is an isometric view of a cartridge top and mating key of this invention.
- Fig. 3 is an isometric view of a collar used in conjunction with the cartridge and key of Fig. 2.
- Fig. 4 is an isometric view illustrating a bowl and collar of this invention.
- Fig. 5 is an isometric view illustrating a key and tool for installing the cartridge into a bowl.
- Fig. 6 is an isometric view of a means for transporting and installing a cartridge without touching the cartridge.
- Fig. 7 is a top isometric view of an alternative key construction of this invention.
- Fig. 8 is a cross sectional view of the key of Fig. 7, directly positioned on a bowl.
- Fig. 9 is a bottom perspective view of an alternate key of this invention.
- Fig. 10 is a partial cross sectional view of the position of the key of Fig. 9 on the collar shown in Fig. 11.
- Fig. 11 is a perspective view of a collar having slots to accommodate the key of Fig. 9.

Fig. 12 is a top perspective view of an alternate key of this invention.

Fig. 13 is a perspective view of the key of Fig. 12 fitting with the collar of Fig. 11.

DESCRIPTION OF SPECIFIC EMBODIMENTS

5 The present invention provides a filter cartridge and a filtration module formed of a manifold, the filtration cartridge and a bowl. The manifold provides fluid pathways for fluid feed into the filtration cartridge and permeate removal from the filtration cartridge. The bowl provides a means of storing fluid feed to permit its introduction into the filtration cartridge or to store permeate from the filtration cartridge to be directed to the manifold and
10 then from the filtration module. Fluid feed can be introduced into the filtration cartridge through the manifold either from the outside of the filter cartridge or from within the interior of the filtration cartridge. The fluid in the bowl adjacent the filtration cartridge can be either fluid feed or permeate. In any event, the fluid feed is introduced from a manifold into the filtration cartridge, permeate is removed from the filtration cartridge from the manifold and
15 sealing is provided to prevent admixture of fluid feed and permeate.

 The filtration cartridge and bowl are constructed so that they are sealed with the manifold or removed from contact with the manifold as one piece. The filter cartridge is sealed with two end caps, one of which is provided with a fluid passageway. The fluid passageway has two opposing substantially parallel flat surfaces positioned on its outside
20 surface. The cartridge is provided with a key that is slideably mounted on the two flat surfaces thereby to be positioned around the fluid passageway of the cartridge. The fluid passageway can function as an inlet or outlet of the cartridge. The key is configured to effect locking of the cartridge to the bowl. In one embodiment, the bowl and filter cartridge are joined together by bayonets on the key which fit into slots on the interior surface of the
25 bowl. In a second embodiment, the key is joined to the bowl by being snap fit onto the open top lip of the bowl. The flat surfaces on the fluid passageway prevent the key from rotating relative to the cartridge. Subsequent to a filtration, the bowl and filter cartridge are removed from the manifold as a single piece rather than as two separate pieces. Since the bowl and filter cartridge are removed together, the filter cartridge need not be removed from the bowl.
30 Thus, a space substantially equal to the length of the filtration cartridge and the bowl together need not be provided to remove the filter cartridge. Only a space as long as substantially the length of the bowl need not be provided. This permits one to install a filtration cartridge of this invention within a smaller space as compared to the space required with present filtration modules. In addition, since the filtration cartridge is removed with

the bowl, it need not be handled by a worker either by hand or with a hand tool. This substantially reduces the possibility of contacting fluid within the bowl with a worker. The bowl optionally can contain a drain for removing fluid before removal.

Referring to Fig. 1, a filtration module 10 comprises a manifold 12, a bowl 14 and a filter cartridge 16. A threaded ring 18 is positioned on the outside surface 20 of bowl 14 and is retained thereon by bead 22 which extends around the circumference of the bowl 14 and lip 24. By controlling the size and flexibility of bead 22, the ring 18 can be slipped over the bead 18 to position the ring 18 or the bead 22 can be formed on the bowl 14 after the ring 18 is positioned as shown.

The ring 18 is substantially fixed in its position relative to the bowl 14, i.e., it cannot move any substantial distance along the length of the bowl 14. When its threads 26 and 28 are drawn together, the ring 18 carries or drives the cartridge 16 into affirmative contact with manifold 12 to effect sealing with O-rings 11 and 13. Conversely, when the ring 18 is rotated so as to drive the threads 26 and 28 apart, the cartridge 16 is positively carried or driven away from the manifold 12.

The cartridge 16 is connected to bowl 14 by flanges 15 on key 30 which mate with slots 32 in collar 34. The collar 34 is threaded into bowl 14 by threads 36. The collar 34 extends about the entire inner circumference of bowl 14. Alternatively, the bowl and collar can be formed of one piece in the shape of bowl 14 and the collar 34 such as by molding.

The key 30 fits onto two flat surfaces 38 and 40 positioned on the outside surface of fluid passageway 42 of cartridge 16. Fluid passageway 42 includes sealing O-rings 11 and 13 and can function as an inlet or outlet for cartridge 16. When the fluid passageway functions as an inlet, fluid is introduced into inlet 44 of manifold 12. When the fluid passageway 42 functions as an outlet, fluid is introduced through inlet 46 of manifold 12. Since flanges 15 do not extend about the entire circumference of the key 30, fluid is capable of by-passing the key 30 to flow into the manifold 12. The structure of the key 30 will be more fully described with reference to Fig. 2. The structure of the collar 34 will be more fully described with reference to Fig. 3.

Referring to Fig. 2, the fluid passageway 42 of cartridge 16 is modified to form two flat surfaces 38 and 40 (not shown, see Fig. 1) positioned substantially parallel to each other. The flat surfaces 38 and 40 fit with flat surfaces 39 on key 30. The key 30 includes two flexible legs 33 which can be opened so that flat surfaces 38 and 40 mate with flat surfaces 39. By being so-shaped, the key 30 is fit around fluid passageway 42 to provide a strong connection between the key 34 and the fluid passageway 42 while avoiding significant

blockage of the passageway 42. The key 30 also can include optional vertical extensions 35. The filter cartridge 16 includes a top cap 19, a bottom cap 21, a filter 23 and a porous housing 25. The caps 19 and 21 are sealed to the ends of the filter 23 to prevent admixture of fluid feed with permeate. The structure of the filter cartridge 16 assures that fluid entering the filter cartridge 16 passes through the filter 23 prior to exiting the filter cartridge 16. The filter 23 can be a depth filter, a pleated filter or the like. The optional vertical extensions 35 fit into the detents 45 shown in Fig. 3.

Referring to Fig. 3, the collar 34 includes two cutouts 41 and two vertical extensions 43. Each vertical extension 43 includes a slot 32 (one not shown). The slots 32 fit with and accommodate flanges 15. The angle subtended by slots 32 depend upon the flexibility of the material used to make key 30 and collar 34. The slots 32 subtend an angle of at least about 15 degrees, preferably between about 30 and about 45 degrees when the key 30 and collar 34 are made of relatively flexible polymer if perfluoro(alkylvinyl ether) (PFA). When the key 30 and collar 34 are made of relatively rigid material such as high density polypropylene, these angles can be about one-half that for PFA. This size angle with these exemplary materials provides a desired contact surface between the flanges 15 and the slots 32 so that the key 30 is not separated from the collar 34 during use of the filtration module 10. The collar 34 includes detents 45 which fit with and accommodate vertical extension 35s within collar 34. The collar 34 also includes optional vertical slots 17 which permit drainage of fluid between the collar 34 and the manifold 12.

Referring to Fig. 4, a bowl and collar construction of this invention is shown. The collar 34 is threaded into the bowl 14. The collar and bowl 14 can be molded as one piece. The bowl 14 includes a plurality of stops 50 which support a locking ring (not shown). The bowl 14 includes a drain 17 that can be open or closed. The drain 17 is closed during filtration.

Referring to Fig. 5, a construction is shown which permits rotation of the key 30 so that flanges 15 fit into slots 32. The pronged C shaped tool 52 has prongs 54 which fit into holes 56. The tool 52 permits avoidance of hand contact with a used cartridge 16.

Referring to Fig. 6, a construction is shown which protects the interior of a filter cartridge prior to use and which permits avoidance of hand contact with the filter cartridge 16. The cap 52 fits over fluid passageway 42 to seal the interior of cartridge 16 from the atmosphere. The cap 57 is molded with a handle 58 which permits insertion and removal of the cap onto or from the fluid passageway 42. The handle 58 permits rotation of the flanges

15 into the slots 32 of collar 34 by hand. After the flanges are so-positioned, cap 57 can be removed from fluid passageway 42 by pulling on handle 58.

Referring to Figs. 7 and 8, an alternative construction is shown for joining a filter cartridge to a bowl. The key 60 is provided with two prongs 62 which are slideably
5 connected to fluid passageway 42 in contact with two flat surfaces 64 which are substantially parallel to each other in the same manner described above with reference to Fig. 1. As shown in Fig. 8, the hook-shaped prongs 66 fit over lip 68 of bowl 14. The key 60 also is provided with levers 67 which are pivoted so that hand pressure on the levers 67 permits movement of prongs 66 into contact with or away from contact with the bowl 14.

10 While the key of this invention has been described with reference to two opposing attachment elements (flanges or prongs), it is to be understood that the key can be provided with more than two attachment elements. At least two attachment elements are required in order to prevent the cartridge from pivoting with respect to the bowl.

Since the key of this invention is removable from the cartridge, the cartridge of this
15 invention can be utilized with the bowl of this invention described above or with a conventionally sized bowl. The removable key permits the cartridge without the key to be inserted into a conventional bowl having no slots on its inner surface otherwise the flanges on the key would render the footprint of the overall cartridge and key to be larger than the conventional bowl diameter. This, in turn, allows for a reduction in required inventory of
20 cartridges needed to accommodate a conventional bowl and the bowl of this invention.

In use, the levers 67 of the cartridge of Fig. 7 are subject to hand pressure thereby to move the prongs 66 away from the flat surface 64. The cartridge is dropped into the bowl, hand pressure on the levers 67 is released and the prongs 66 thereby are secured to the top lip of the bowl to secure the key 60 to the bowl.

25 The embodiment of Fig. 2 is inserted into the collar of Fig. 3 by aligning the flanges 15 with cutouts 41. The key 30 is then rotated so that flanges 15 fit into slots 32 thereby securing the cartridge 16 to the collar 34.

In one aspect of this invention, the key is provided with an outside surface
30 configuration which mates with an inside surface configuration of the collar attached to the bowl in order to center the filter cartridge to which it is attached within the bowl. Centering the cartridge within the bowl allows for a smooth mating of the key and collar when the key is rotated into the collar. The outside surface configuration of the key includes a small groove. While this outside surface configuration is not required in this invention, in its

absence, the key will slide from side to side within the collar thereby increasing difficulty of engaging the key and collar.

Referring to Figs. 9 and 10, the key 70 includes a groove 72 on each key leg 74 and 76 which mates with a surface 78 of collar 80. While Figs. 9 and 10 include bosses 82, they
5 are not necessary to the groove's 72 function. The purpose of the bosses 82 are described below.

In another aspect of this invention, the collar of this invention is provided with slots which extend through the thickness of the collar. The slots mate with bosses on the key to provide an improved fit between the collar and the key. The bosses are configured to cause
10 mating slots on the collar to expand outwardly when the key is rotated into position until the bosses fit into the open slots. At the point of fitting, the slots contract toward each other and thus overlap renders it more difficult for the key to become detached from the collar during use.

Referring to Figs. 11, 12, and 13, the collar 84 includes two opposing slots 86 and 88
15 into which fit the bosses 82 when the key 90 is rotated into position into collar 84.

Expansion of the slots away from each other is caused by contacting the bosses 82 with the inside surfaces of the collar 84. The distance between the outside surfaces of the bosses 82 is slightly larger than the distance between opposing inside surfaces of the collar 84.

CLAIMS

1. A filter cartridge which comprises:
 - an elongated filter medium having a first end and a second end,
 - a first sealing plate sealed to said first end,
 - a second sealing plate sealed to said second end,
 - a fluid passageway sealed to said first sealing plate to provide fluid communication to an open interior within said filter medium,
 - said fluid passageway having an outside surface including two substantially parallel flat surface and
 - a fluid porous housing surrounding an outside surface of said filter medium.
2. The filter cartridge of Claim 1 wherein said filter medium is a depth filter.
3. The filter cartridge of Claim 1 wherein said filter medium is a pleated filter.
4. A filtration module which comprises:
 - a manifold, a filter cartridge and a bowl which houses the filter cartridge, and means for locking said bowl to said manifold,
 - a key secured to two substantially parallel surfaces on an outer surface of a fluid passageway formed with the filter cartridge, said key having at least two attachment elements attached to said bowl, said filtration cartridge and bowl being in fluid communication with said manifold in a manner which prevents mixing of a fluid feed to said filtration cartridge with a permeate removed from said filtration cartridge.
5. The filtration module of Claim 4 wherein said key is attached to said bowl by prongs extending from said key which fit over a lip of said bowl.
6. The filtration module of Claim 4 wherein said key is attached to said bowl by flanges extending from said key which fit into slots on a collar, said collar being directly attached to an open end of said bowl.
7. The filtration module of Claim 6 wherein said bowl and said collar comprises a unitary construction.

8. The filtration module of any one of Claims 4, 5, 6 and 7 which includes an inlet for fluid feed to said bowl and an outlet for permeate from said filter cartridge.

9. The filtration module of any one of Claims 4, 5, 6 and 7 which includes an inlet for fluid feed to said filter cartridge and an outlet for permeate from said bowl.

10. The filtration module of any one of Claims 4, 5, 6 or 7 wherein said key includes at least one vertical extension, each of said at least one vertical extension being fit into a vertical slot in said collar.

11. The method for reducing a required inventory of filter cartridges which fit with both a first set of filter bowl being free of a slot on an inner surface of said first set of filter bowls and a second set of filter bowl having at least two slots on a second inner surface of said second set of filter bowls which comprises:

providing said second set of filter bowls with a key having at least two flanges which fit into said at least two slots, said key being removable from said second set of filter bowls.

12. The filtration module of any one of Claims 4, 5, 6 or 7 wherein said key includes at least two flanges and each of said flanges has a boss on an outside surface of each of said flanges.

13. The filtration module of any one of Claims 4, 5, 6 or 7 wherein said key includes at least two flanges and each of said flanges includes a groove on a lower surface of each of said flanges, said groove shaped to mate with an inner surface of a collar attached to said bowl.

14. The filtration module of any one of Claim 8 wherein said key includes at least two flanges and each of said flanges includes a boss on an outside surface of each of said flanges.

15. The filtration module of any one of Claim 9 wherein said key includes at least two flanges and each of said flanges includes a boss on an outside surface of each of said flanges.

16. A sealing plate for a filter cartridge comprising:
- a plate having a fluid passageway extending through said plate,
 - said fluid passageway having an outside surface including two substantially parallel flat surfaces.

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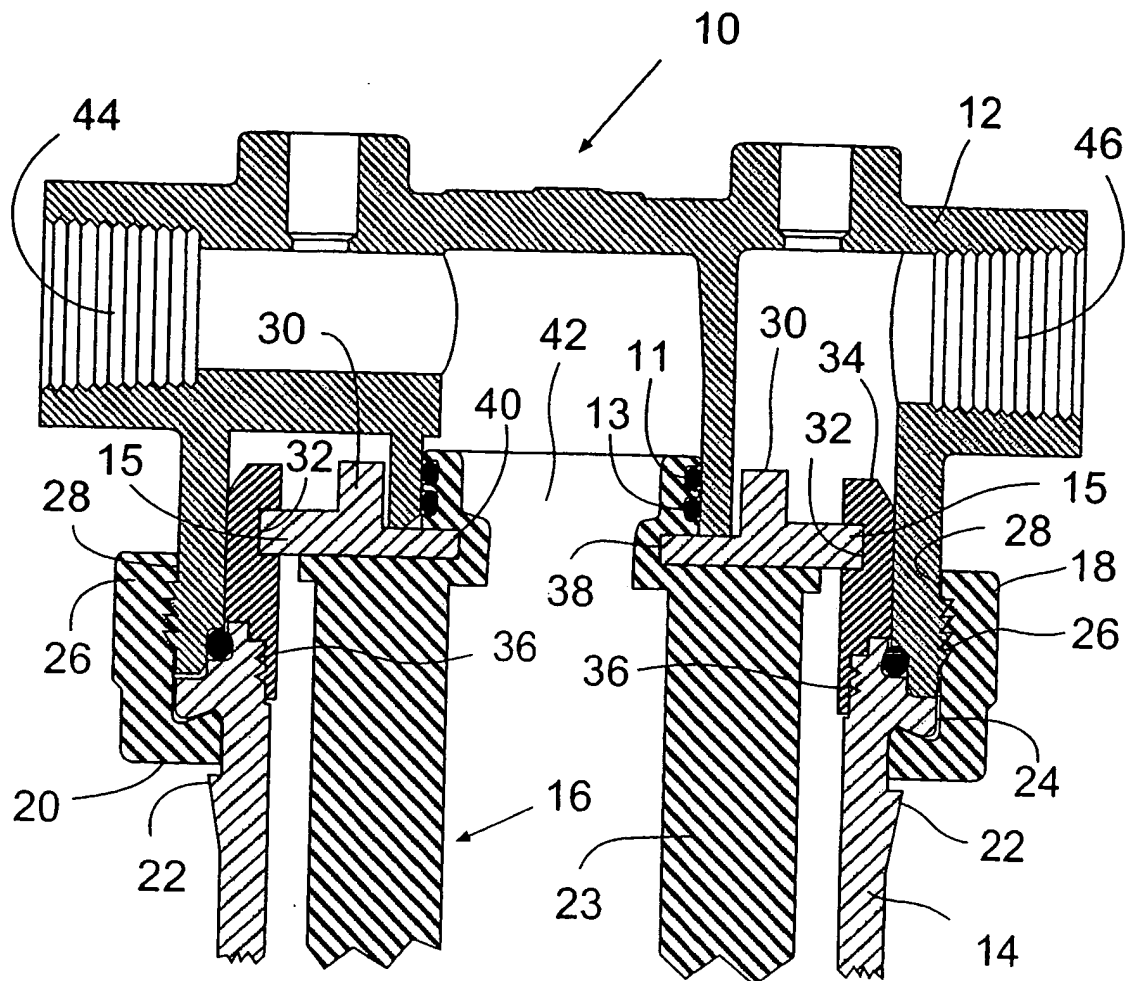


Figure 1

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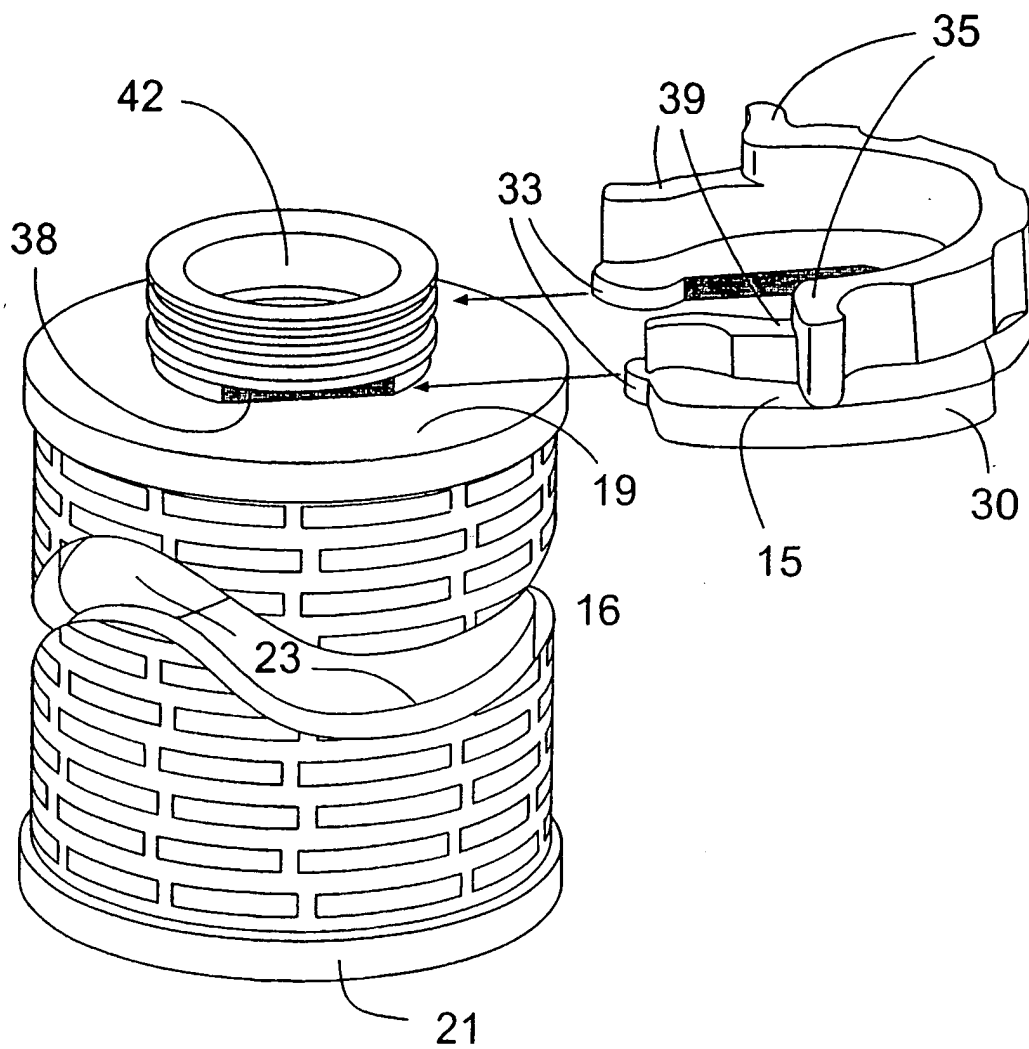


Figure 2

DRAGAGE 28 SEP 2004

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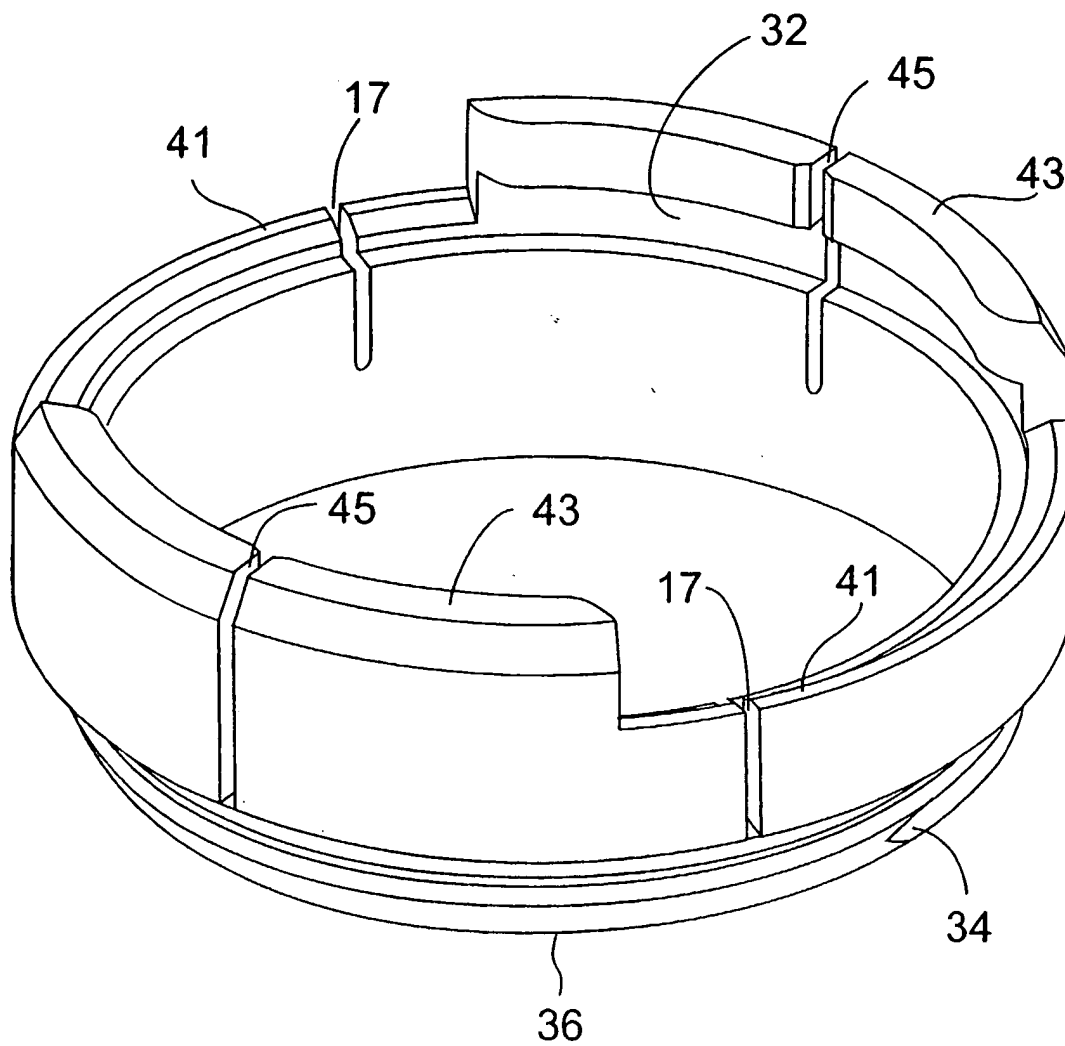


Figure 3

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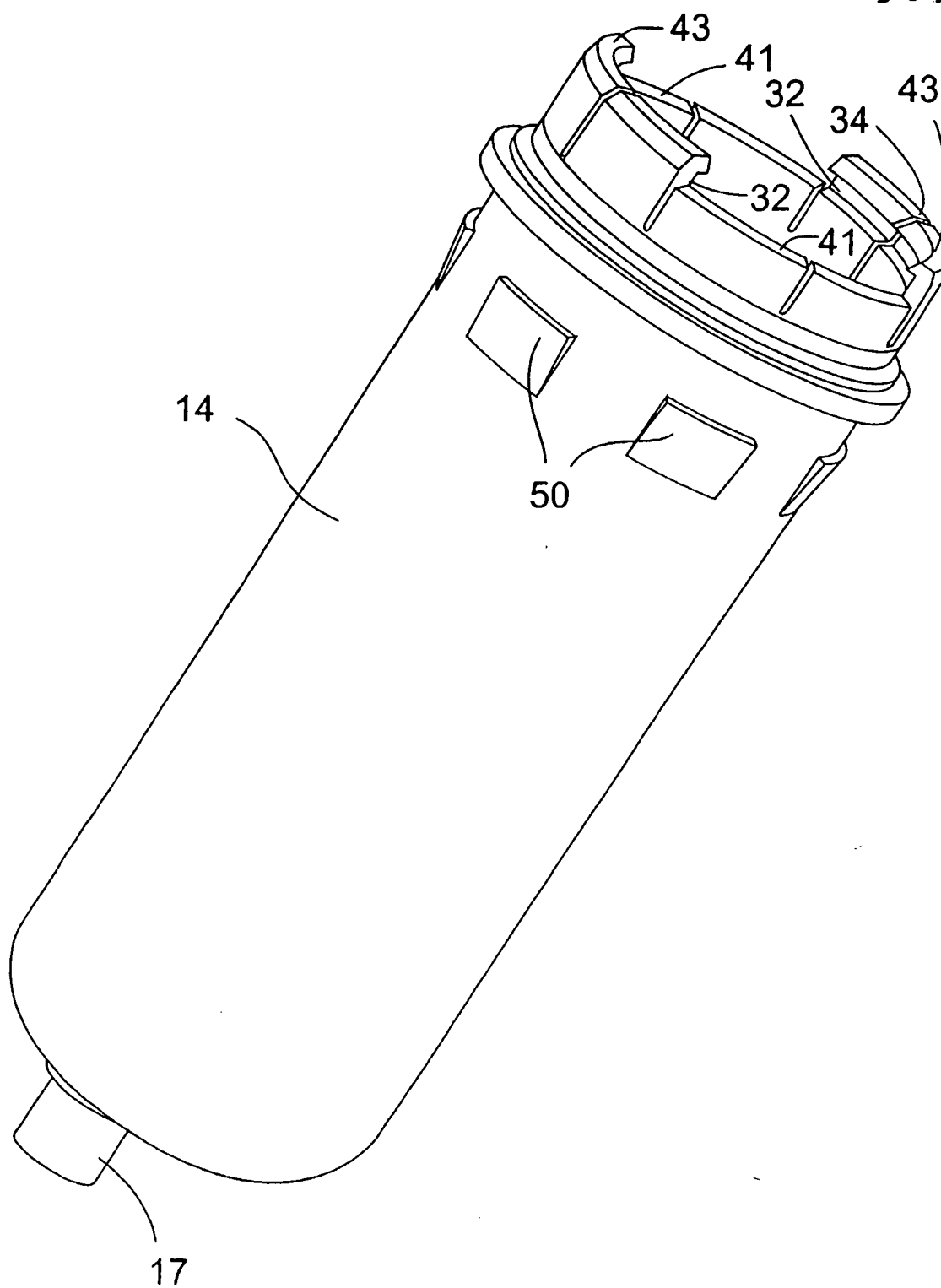


Figure 4

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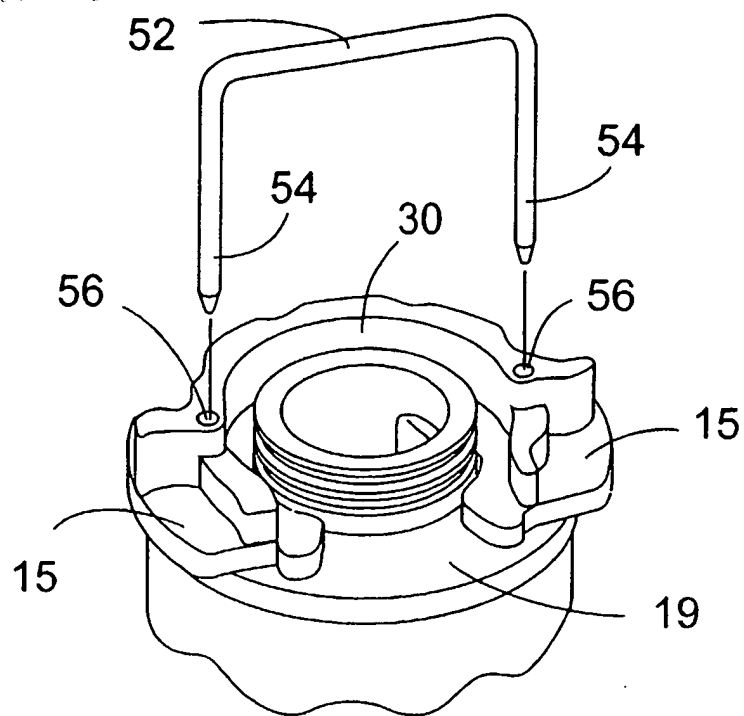


Figure 5

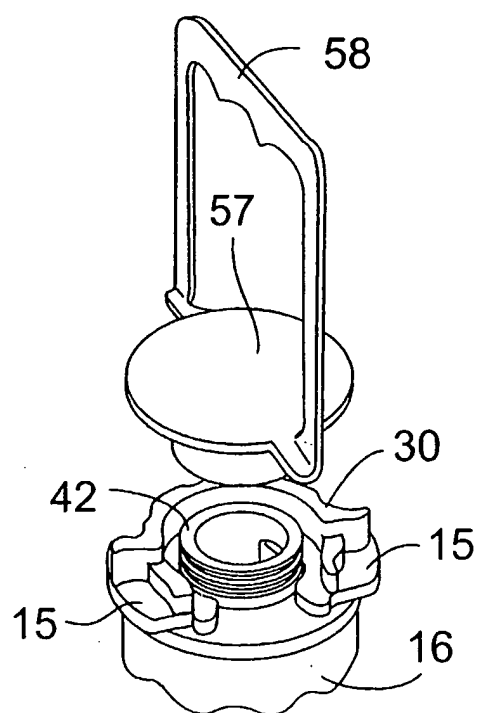


Figure 6

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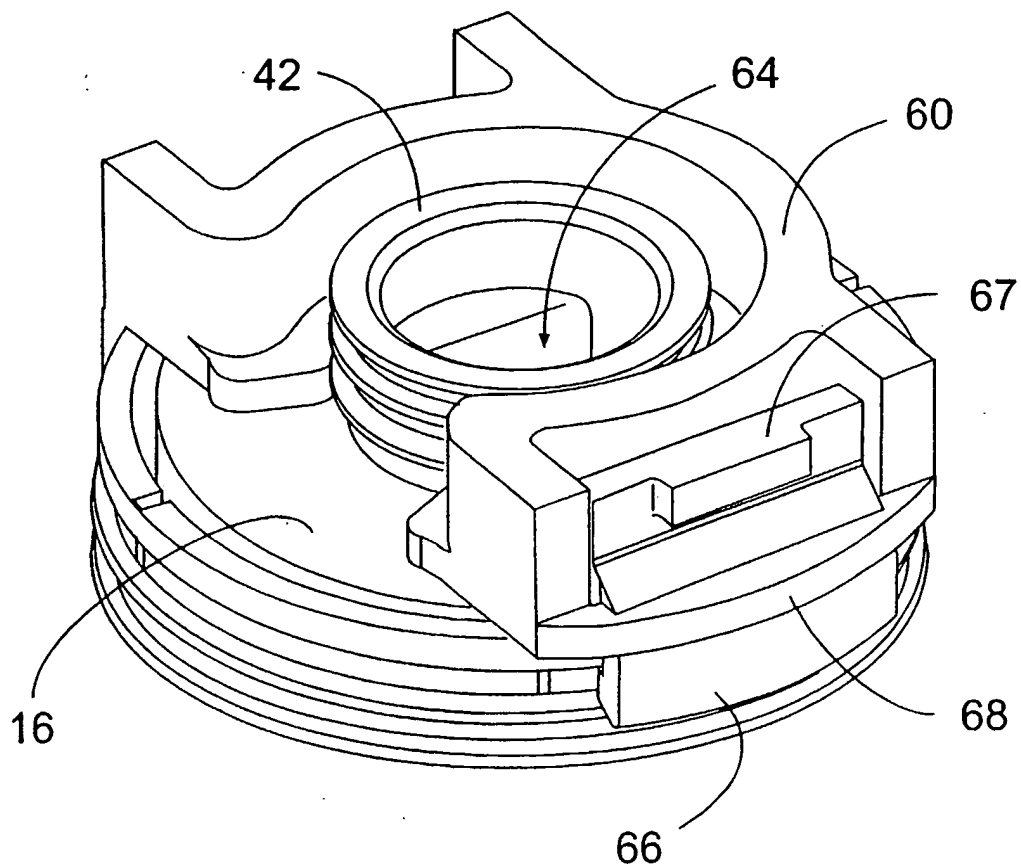


Figure 7

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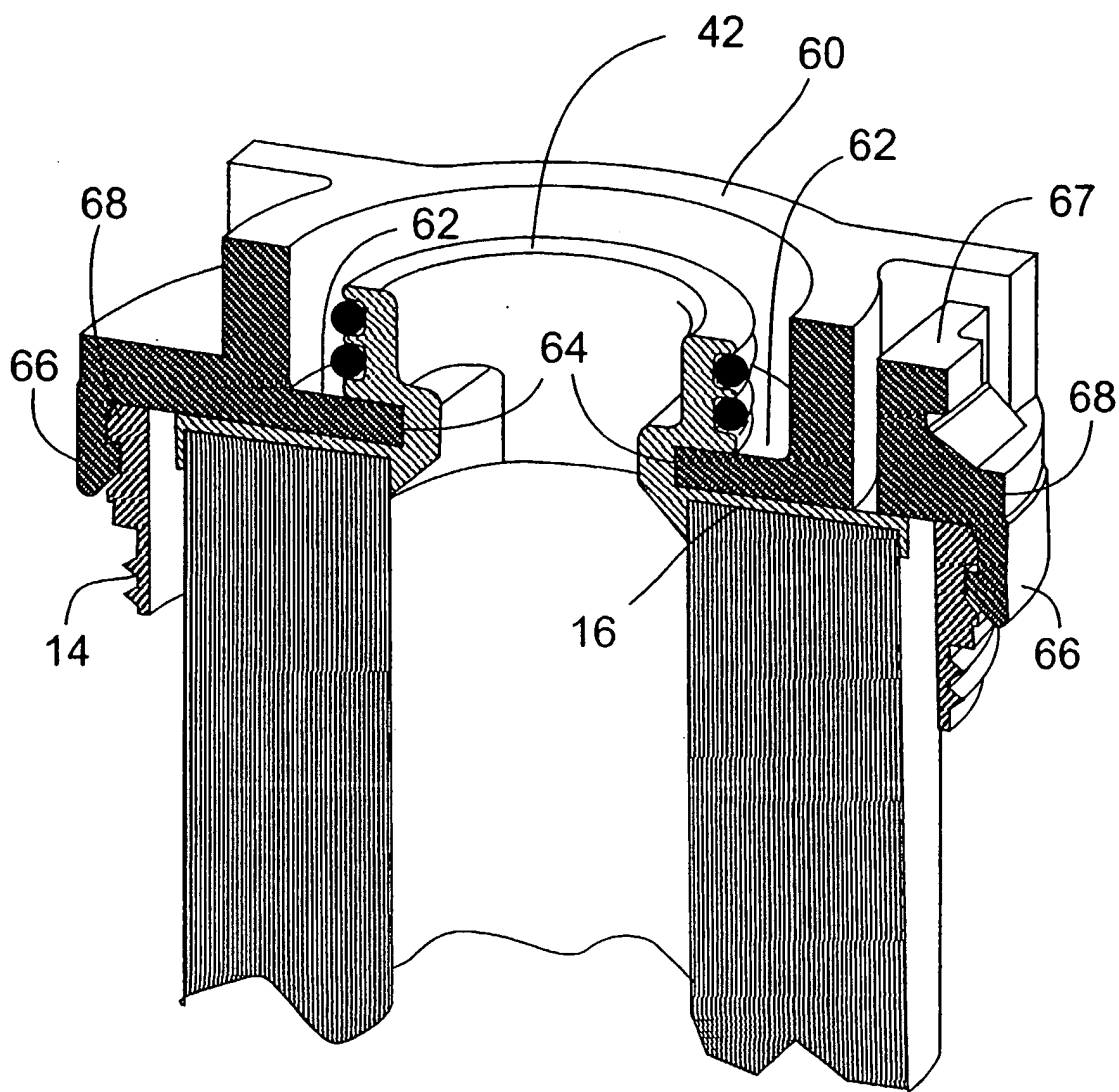


Figure 8

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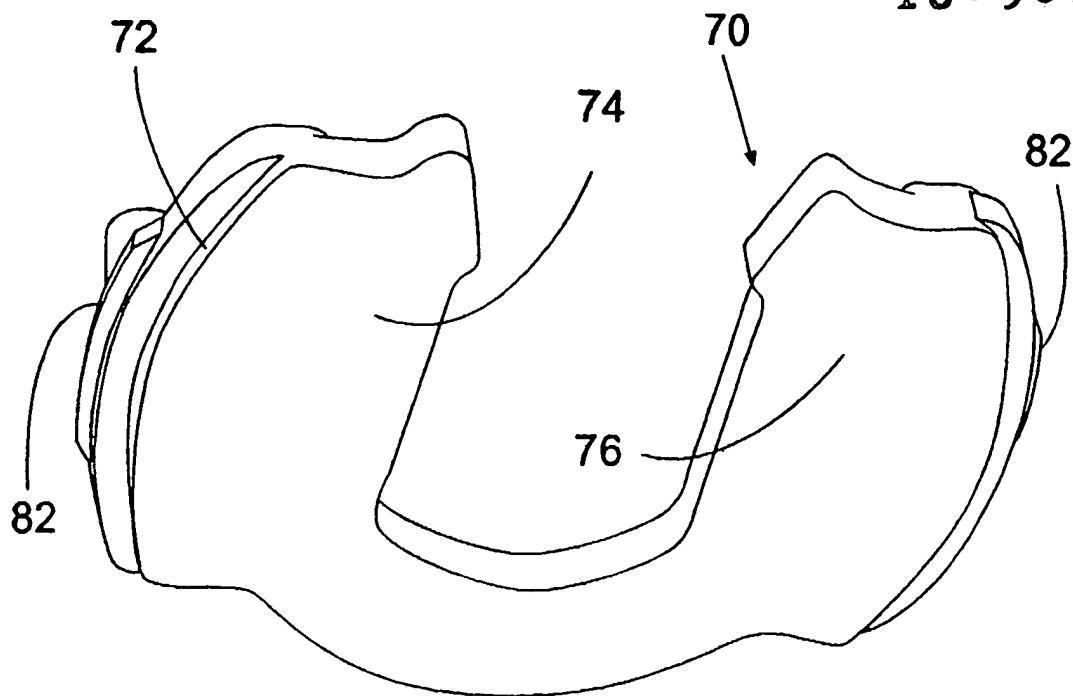


Figure 9

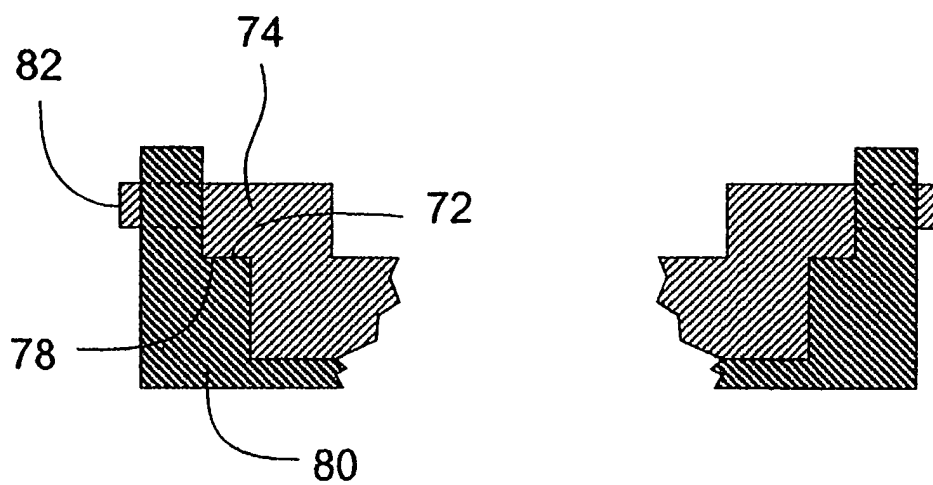


Figure 10

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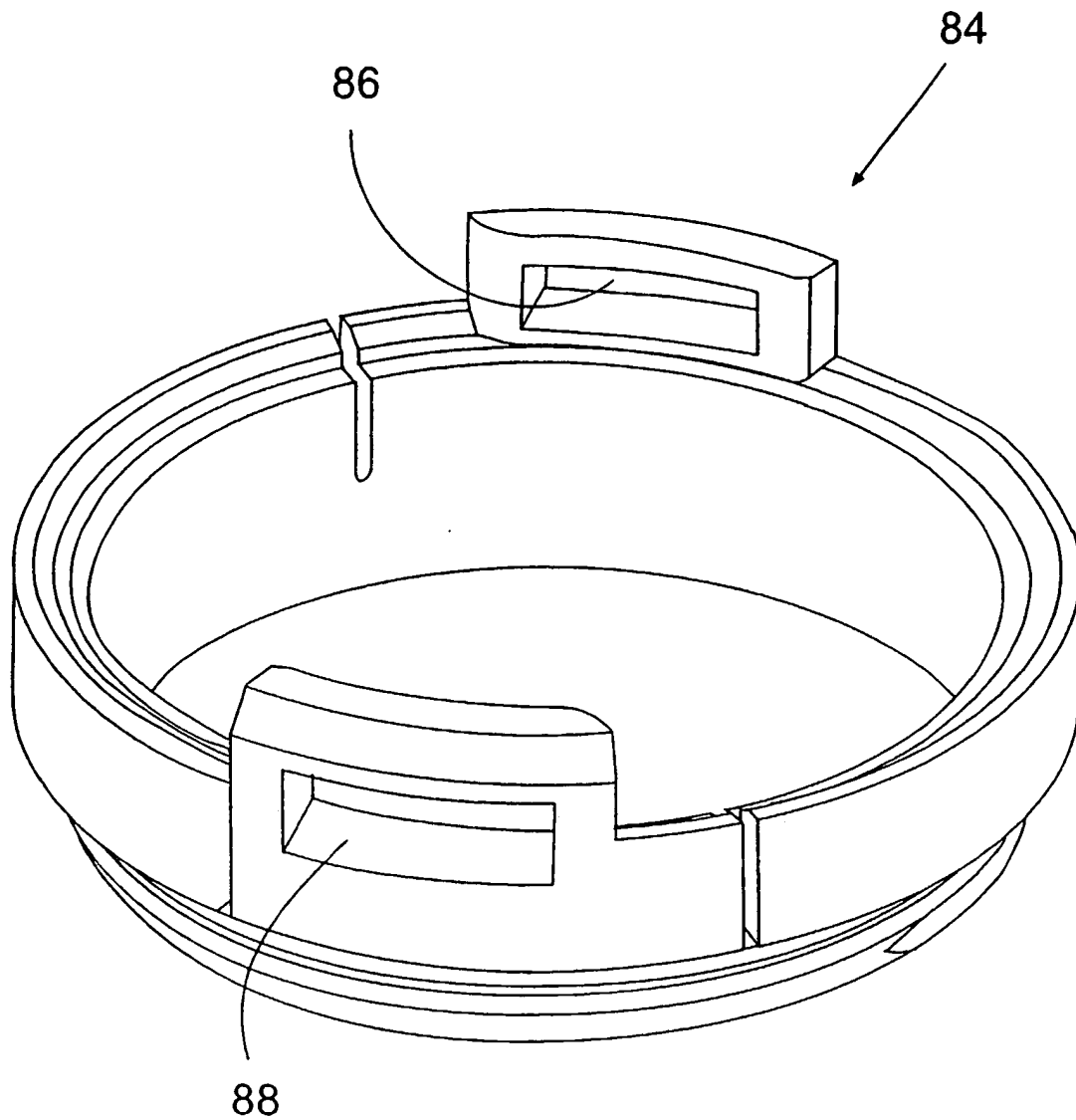


Figure 11

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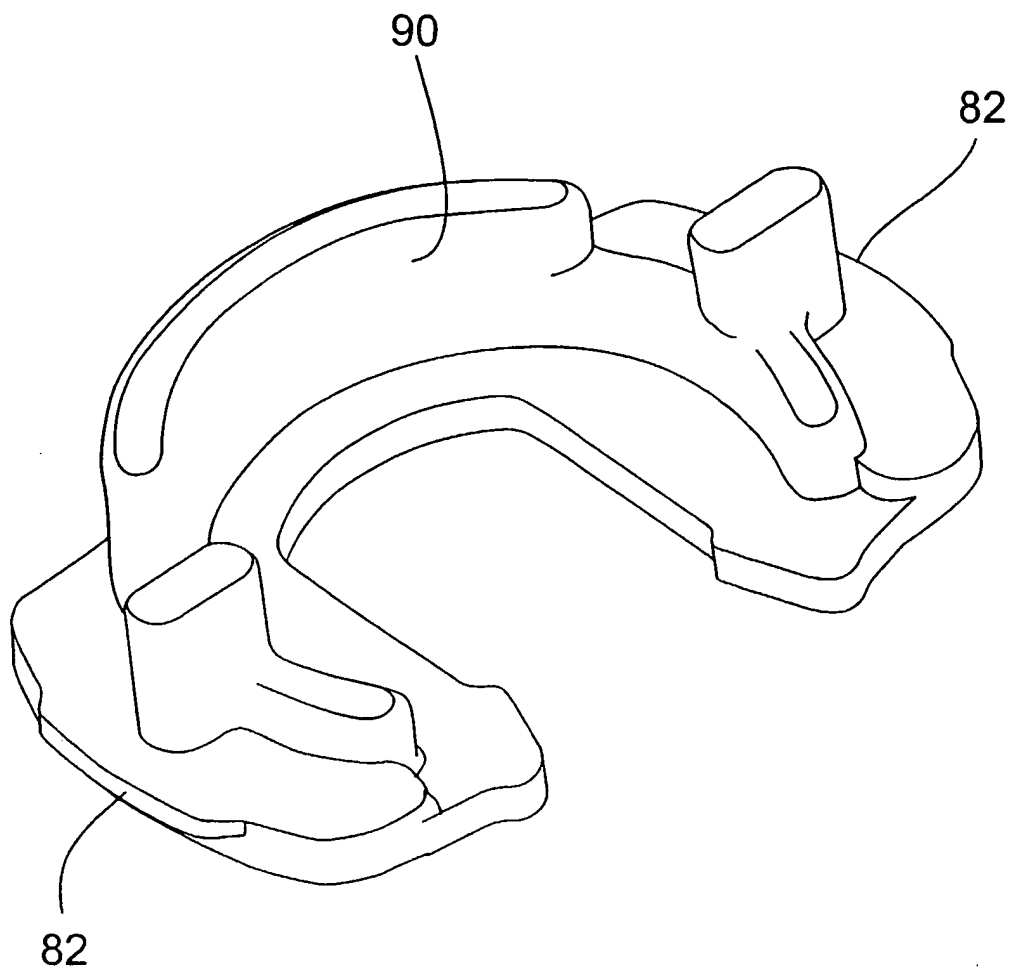


Figure 12

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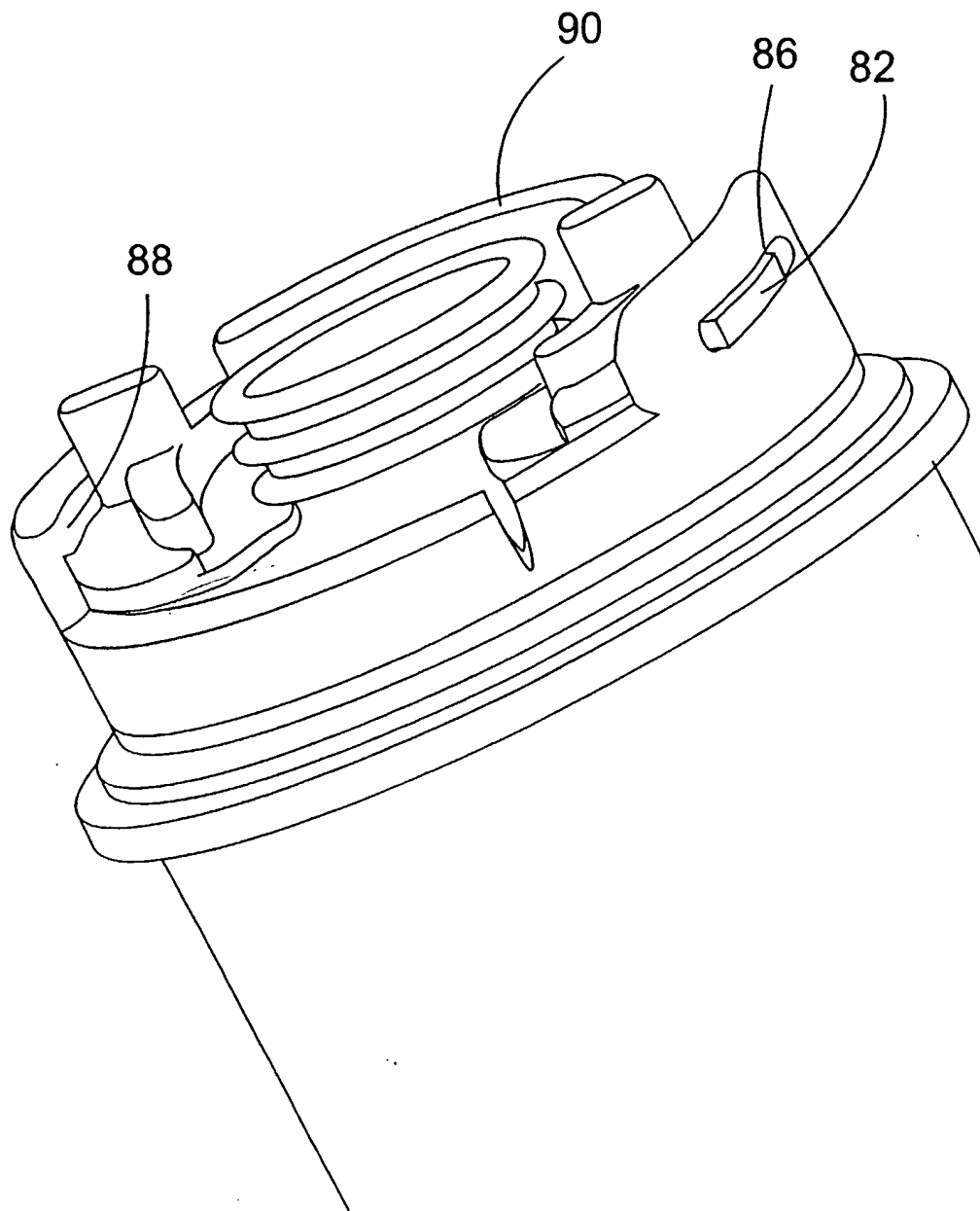


Figure 13

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/06927

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : B01D 35/34

US CL : 210/232, 440, 443, 444, 452, 454, 497.01, 493.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 210/232, 440, 443, 444, 452, 454, 497.01, 493.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,548,893 A (KOELFGEN) 27 August 1996 (27.08.1996), column 5, lines 14-52.	1-16
A	US 5,679,251 A (SWANSON et al) 21 October 1997 (21.10.1997), column 1, line 4 to column 4, line 25.	1-16
A	US 3,118,836 A (BRIGGS) 21 January 1964 (21.01.1964), column 1, line 8 to column 2, line 56.	1-16

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search

16 June 2003 (16.06.2003)

Date of mailing of the international search report

17 JUL 2003

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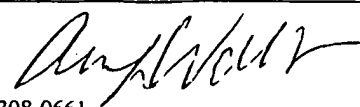
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